



LETTER OF ENGAGEMENT – SCHEDULE OF INITIAL FEES
(to be read in conjunction with our Services and Fee Guide)

Proceeding to a letter of engagement

Following the initial appointment, it is agreed to proceed with our advice and services.

The terms of the work to be undertaken are identified in a letter of engagement, with a cost estimate such as the example set out below.

Note: first 30 minutes advisor meeting is at our cost

1. Advice fee per hour to apply;			\$ 330.00
• Wealth & Security Planners advisor hourly rate		\$ 330.00	
• Streamline Financial Planning advisor hourly rate		\$ 220.00	
2. Statement of advice (SOA) fee to apply;			\$ 825.00
• Preparation cost (standard estimates below)			
○ Single product/issue		\$ 825.00	
○ Multiple product/issue		\$ 1,650.00	
○ Strategy statement		\$ 3,300.00	
○ Full financial plan (estimate only)		\$ 7,480.00	
3. Administration charge to apply;			\$ 900.00
• File establishment and compliance fee		\$ 375.00	
• Data entry (as below);		\$ 360.00	
○ Number of entities	3		
○ Number of accounts	5		
○ Cost per account	\$45.00		
• Advisor compliance input and verification time (hours)	0.50	\$ 165.00	
4. Total cost estimate to apply;			\$ 2,385.00
• Advisor fee estimate of hours required	2.00	\$ 660.00	
• Statement of advice preparation fee		\$ 825.00	
• Administration charge		\$ 900.00	

Notes:

- Does not include any implementation fees that might be payable
- The incidental advice rate of \$550 is reduced to a preferential rate of \$330 per hour if this is your first appointment. The reduction is only offered once, unless you subscribe to an Ongoing Service Package, at which stage your Ongoing Advice fee will be the preferential rate for as long as you continue with the Ongoing Service Package.